Review

Ultrasound for patients in a high HIV/tuberculosis prevalence setting: a needs assessment and review of focused applications for Sub-Saharan Africa

Tom Heller a,*, Eric A. Mtemang’ombe a, Michaëla A.M. Huson b, Charlotte C. Heuveling b, Sabine Bélard b,c,d, Saskia Janssen b, Sam Phiri a,e,f, Martin P. Grobusch b,g

a Lighthouse Clinic, Kamuzu Central Hospital, Area 33, Mzimba Street, PO Box 106, Lilongwe, Malawi
b Centre of Tropical Medicine and Travel Medicine, Department of Infectious Diseases, Division of Internal Medicine, Academic Medical Centre, University of Amsterdam, Amsterdam, the Netherlands
c Department of Paediatric Pneumology and Immunology, Charité – Universitätsmedizin Berlin, Berlin, Germany
d Berlin Institute of Health, Berlin, Germany
e Department of Medicine, University of North Carolina School of Medicine, North Carolina, USA
f Department of Public Health, School of Public Health and Family Medicine, College of Medicine, University of Malawi, Lilongwe, Malawi
g Institute of Tropical Medicine, University of Tübingen, Tübingen, Germany

1. Introduction

Ultrasound (US) is applied as a diagnostic aid and to guide therapy in a vast number of tropical infectious diseases. In Malawi, it was found to be particularly useful in inpatients with HIV infection. During the past two decades, technological advances have improved image quality and have significantly reduced the size and price of US equipment. As a result, US is increasingly applied at the point of care for clinical decision-making and to provide procedural guidance. Emergency medicine physicians have pioneered and greatly advanced the point-of-care applications of US (POCUS). The ‘focused assessment with sonography for trauma’ (FAST) protocol has become well recognized as a standardized diagnostic test in emergency departments. Other specialties, including infectious diseases (ID), are beginning to utilize POCUS.

The fundamental difference between POCUS and conventional US examinations is that POCUS is performed by the treating physician who aims at answering simple, usually binary questions relevant to immediate patient management (e.g., “Is there..."
pericardial effusion, yes or no?’’). It has been demonstrated that physicians can perform accurate US scans with a limited amount of training. Formal training programs have been developed to teach US skills within the framework of an emergency medicine curriculum, while the development of POCUS training programs in ID is still in its infancy. In ID, individual applications are taught in short courses, but a standardized curriculum has never been developed and most curricula are collated following the opinions and preferences of local leaders in the field. The selection of POCUS applications for a particular setting should apply three criteria: (1) the condition should be prevalent in the patient population; (2) its diagnosis should carry the potential to significantly change patient management; and (3) POCUS diagnosis should be fairly straightforward for a novice to learn.

In this study, the frequency of use of various POCUS applications, findings, and consequences for treatment were assessed in urban Malawi, a setting with high tuberculosis (TB) and human immunodeficiency virus (HIV) prevalence. The literature on common US applications was then reviewed, including focused assessment with sonography for HIV-associated TB (FASH), heart, liver, kidney, deep venous thrombosis (DVT), and gynaecology. Their applicability, most frequent indications, findings, and possible implications for treatment in the resource-limited setting in Sub-Saharan Africa were assessed. Finally, a ranking is provided to inform local POCUS curriculum development. The aim is to present an objective and targeted approach to determine an epidemiologically and clinically relevant curriculum in this setting.

2. Methods

2.1. Clinical setting

POCUS scans were performed in four different clinical settings in Lilongwe, Malawi: two integrated HIV and TB outpatient clinics operated by the Lighthouse Trust, the internal medicine ward of Kamuzu Central Hospital (KCH), and the TB ward at Bwaila Hospital.

Both HIV outpatient clinics of the Lighthouse Trust, a World Health Organization (WHO) recognized centre of excellence for HIV care, provide integrated HIV testing, treatment, and care. Lighthouse Clinic (LH) on the campus of KCH currently provides care for approximately 10 000 registered HIV patients on antiretroviral therapy (ART). Martin Preuss Clinic (MPC) at Bwaila Hospital (Lilongwe District Health Office) currently provides care for just over 19 000 HIV patients on ART. The two clinics combined register an average of 750 patient-visits per day. LH serves as a primary and secondary centre, as well as being a tertiary referral centre for other ART clinics within Lilongwe and the central region of Malawi. The integration of TB and HIV services is a key priority; all patients starting ART are clinically screened for TB. LH and MPC are recognized TB treatment sites and manage TB patients as outpatients in accordance with the Malawi National TB guidelines. LH, which is predominantly an ART site, has a smaller TB treatment service; in 2015, 81 TB patients were registered (63 pulmonary TB (PTB) patients (97% HIV-positive) and 18 extrapulmonary TB (EPTB) patients (94% HIV-positive)). MPC treats the largest cohort of TB patients in Malawi (2015: 1000 PTB patients (61% HIV-positive) and 322 EPTB patients (63% HIV-positive)).

KCH is the tertiary referral hospital in Lilongwe for the central region of Malawi. The internal medicine department of KCH admits approximately 250–300 patients every month. Around one third of inpatients are HIV-infected, with a third of these being newly diagnosed with HIV and two thirds being on, or having been on, ART. The LH team supports the HIV and TB diagnostics and treatment of inpatients. Diagnostic services available are generally restricted; TB diagnostics include smear microscopy and GeneXpert. Plain X-ray, computed tomography (CT), and US are available as imaging modalities.

The TB ward at Bwaila hospital predominantly treats TB re-treatment cases requiring injectable drugs and TB patients who are otherwise too sick to be treated on an outpatient basis. On average, 20–25 patients are admitted at any time, most of them for a 2-month period.

2.2. Patients

A cross-sectional study was performed in adult patients (age ≥15 years) for whom the treating clinician established an indication for US. All examinations were performed in duplicate by two clinicians (TH and EAM). A portable black-and-white scanner with a 3.5-MHz convex probe and a 7-MHz linear probe was used (DP-30; Mindray, China). A convenience sample of 100 consecutive US examinations was collected prospectively and analyzed. For scanned patients, demographic information and HIV data were recorded; indications for US examination, relevant findings, and therapy adjustment following examination were noted. The US procedures were summarized by one or more key terms, hereafter called ‘applications’.

2.3. Ethics

As examinations were part of routine care, no informed consent was sought. The extraction and analysis of the clinical data were covered under the Lighthouse blanket Ethics and Research Approval from the National Health Sciences Research Committee (NHSRC) of Malawi.

2.4. Literature review

Based on the selection of US applications relevant in this patient cohort in Malawi, the literature was reviewed for information about indications, applicability, and consequences for treatment of these applications in the settings of Sub-Saharan Africa.

3. Results

POCUS examination data of 100 patients were recorded over a 2-month period (June to August 2016). Baseline characteristics and clinical indications for POCUS are presented in Table 1.

The most frequent reason for POCUS was loss of weight (or cachexia), followed by abdominal pain and shortness of breath. Abnormal findings on US were found in 77% of patients, and there was a change in treatment following POCUS in 72% of patients (Table 2). The initiation of TB treatment was the most common treatment change resulting from POCUS, followed by the initiation of ART, mostly in patients in whom no sign of TB was found. Other treatment changes, such as initiation of antibiotic therapy or anticoagulation, were common for specific indications such as abdominal pain and suspected DVT, respectively (Table 2). POCUS examinations were grouped into six applications; their description and frequency are shown in Table 3. FASH was the most frequently used POCUS application, followed by ‘heart’ and ‘liver’, ‘kidney’, ‘DVT’, and ‘gynaecology’ were recorded in fewer than 10 patients.

4. Discussion and literature review

POCUS is a frequently used diagnostic modality in the present patient population with a high prevalence of HIV and TB, and contributed to a change in treatment in 72% of the study patients. The high rate of positive findings, and consequent treatment changes, can be explained by the fact that a selected population
was observed, which included a high number of inpatients (n = 52) and patients who had been on ART for less than 6 months (n = 30), in whom opportunistic infections and unmasking immune reconstitution inflammatory syndrome (IRIS) are frequently seen. Furthermore, the study centre serves as a tertiary referral centre and may therefore receive a preselected population with more severe illness. Nevertheless, it is believed that the US applications used in the present cohort can be applied in various settings. The applications are discussed individually below, and conclusions are drawn on the relevance of different POCUS applications in a curriculum in Sub-Saharan African settings with a similar high prevalence of HIV and TB.

### 4.1. Focused assessment with sonography for HIV-associated TB (FASH)

People living with HIV are 20 to 30 times more likely to develop active TB, and one in three HIV deaths is due to TB. TB mainly causes pulmonary disease, but can disseminate to extrapulmonary sites such as lymph nodes, pleurae, pericardium, and abdominal cavity, especially in HIV-infected patients. The diagnosis of EPTB is hampered by difficulties in obtaining adequate samples and the limited availability and low sensitivity of diagnostic tests. FASH screens the patient for sonographic findings that suggest EPTB and can support the presumptive diagnosis of EPTB, especially in HIV-infected patients in endemic settings. Important findings include pleural effusion, pericardial effusion, free intra-abdominal fluid, enlarged abdominal lymph nodes, and microabscesses in the liver or spleen. Pleural effusions present as anechoic fluid, in the costophrenic angles, with or without microabscesses in the liver or spleen. Pleural effusions present as intra-abdominal fluid, enlarged abdominal lymph nodes, and tant findings include pleural effusion, pericardial effusion, free fluid that is helpful to differentiate between pleural and pericardial fluid, as pericardial effusions do. Tamponade is characterized by diastolic collapse of the right atrium or ventricle and requires urgent pericardial drainage, which can be performed in the resource-limited setting.

### 4.2. Heart

Dyspnoea is a frequent reason for patients to seek medical attention and was one of the main indications for US in the present patient cohort. US constitutes an excellent tool to diagnose cardiac conditions causing dyspnoea and to differentiate between different conditions causing an image of cardiomegaly on CXR. In the 15 patients with dyspnoea in the present study, a cardiac cause was found in seven patients. US of the heart generally uses four views: the subxyphoid view (which is also included in the FASH protocol), the left parasternal long axis and short axis views, and the apical four-chamber view. It has been demonstrated that non-cardiologists can reliably estimate left ventricle (LV) function by ultrasonography. Considering the different findings, the present authors would grade the difficulty of sonographic assessment of the heart as ‘moderate’.

#### 4.2.1. Pericardial effusion

Tuberculous pericarditis is by far the commonest cause of pericardial effusion in Sub-Saharan Africa. Other important causes of large pericardial effusions, especially in HIV-infected patients, include pyogenic infection, lymphoma, and Kaposis sarcoma. Pericardial effusions are usually assessed using the subxyphoid view. In addition, the parasternal long axis view can be helpful to differentiate between pleural and pericardial fluid, as pleural effusions do not cross the descending aorta while pericardial effusions do. Tamponade is characterized by diastolic collapse of the right atrium or ventricle and requires urgent pericardial drainage, which can be performed in the resource-limited setting.

#### 4.2.2. Cor pulmonale

Right heart failure is an important cause of morbidity in Sub-Saharan Africa; in a South African study, it was found to be the cause of heart failure in 27% of 844 new cases. A study among HIV-infected patients with cardiac symptoms in Tanzania observed pulmonary hypertension in 12.7% of 102 cases. Infectious diseases like HIV, schistosomiasis, and TB, haemoglobinopathies, and air pollution are thought to play an important role in the pathophysiology of cor pulmonale in Sub-Saharan Africa. The apical four-chamber view is best suited to assess the relative dimensions of the LV and right ventricle (RV). A RV/LV ratio >0.7 indicates a dilated RV. In addition, movement of the septum away from the RV caused by elevated LA pressure can be seen in cases of pericardial tamponade.
from the RV during diastole indicates increased RV pressure, resulting in a D'-shaped LV in the parasternal short axis. Treatment will be aimed at the underlying disease and at symptomatic relief of over-hydration by diuretics.

4.2.3. Dilated cardiomyopathy (DCM)

DCM is a common pathology of advanced HIV infection and presents with shortness of breath, hypotension, and shock in advanced disease. In Sub-Saharan Africa, DCM is a common cause of heart failure, ranging from 9.8% of patients with cardiac symptoms in Tanzania, to 28% of heart failure patients in South Africa. Other important causes of cardiomyopathy in Sub-Saharan Africa include familial disease, alcoholism, and peripartum cardiomyopathy.

DCM can be recognized on US using the subxiphoid view, or the apical four chamber view. Dilatation of all four chambers can be observed, and reduced inward endocardial systolic motion, which can be recognized by sonographers with limited experience. To evaluate different causes of shock, measurement of the inferior vena cava (IVC) is incorporated as part of the RUSH protocol (rapid ultrasound in shock). A patient in shock with a hyperdynamic LV with a small IVC (<1.5 cm) requires aggressive fluid resuscitation.
4.3. Liver

The liver, the largest solid organ, is the organ most often scanned by US in internal medicine patients in resource-limited settings. In the present patient cohort, abdominal pain, jaundice, and organomegaly were common indications for liver US. The complex liver anatomy, the wide variety of possible findings, and the broad differential diagnosis require a high level of expertise. Biliary dilatation, cirrhosis, and focal liver lesions are the three major findings of relevance to HIV-infected patients.

4.3.1. Focal liver lesions

Focal liver lesions can be due to infections as well as malignant and benign tumours. Focal TB abscesses are found in HIV co-infected individuals, and are also well-described in patients without immunodeficiency. Tuberculomata may also be detected as part of disseminated TB disease when lymphadenopathy and spleen abscesses are present concomitantly. Amoebic liver abscesses occur in HIV-infected patients, but whether HIV infection is a risk factor for invasive amoebiasis remains controversial. Typical US findings of liver abscesses are focal areas of different echogenicity within the liver parenchyma (which in most cases is hypoechoic) compared to the surrounding liver tissue, as pus or necrotic material has generally lower echogenicity. In isolated cases, abscesses can also be iso- or hyperechoic.

Jaundice is a frequent sign in patients with HIV and TB for a variety of reasons. Common causes are liver cirrhosis, flares of hepatitis B, and ‘drug-induced liver injury’ (DILI) due to TB drugs and ART. Liver cirrhosis is prevalent in HIV-infected patients, frequently due to co-infection with hepatotropic viruses like hepatitis B virus and hepatitis C virus. In patients with HIV co-infection, the progression from fibrosis to cirrhosis due to hepatitis is accelerated compared to patients without HIV co-infection. Ruling in cirrhosis may influence treatment decisions, as it makes DILI less likely. Sonographic signs of cirrhosis can be subtle and in early stages undetectable; to rule out early liver cirrhosis by US is therefore not possible. Signs of advanced cirrhotic changes are (1) a nodular liver surface, best seen in the presence of ascites; (2) changes in the vasculature with amputated portal branches and a prominent central portal vein; (3) a coarse inhomogeneous echo pattern of the liver tissue; and (4) possibly an enlarged caudate lobe. Changes in the liver surface and vasculature may be discernable by a less experienced sonographer. Nevertheless, the sonographic diagnosis of cirrhosis must be considered as ‘difficult’.

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4.3.3. Biliary dilatation

Dilatation of the intra- and extra-hepatic bile ducts is another explanatory finding in patients with jaundice and elevated liver enzymes. In the industrialized setting, these changes are mainly due to gallstones or tumours obstructing the bile flow, but the differential diagnosis in HIV/TB patients is different. Obstruction by enlarged tuberculous lymph nodes may cause dilatation of the bile ducts and pancreatic duct. HIV-associated cholangiopathy has to be considered in cases of segmental or general dilatation of the duct system. The sonographic features of dilated bile ducts are anechoic tubular structures running parallel to the portal venous system. This is often described as ‘double-barrel’ or ‘shotgun’ sign. Although the US picture is clear, well described, and...
unambiguous, it is often difficult to recognize for a beginner. While obstructing tuberculous nodes normally respond to treatment, treatment options for cholangiopathy are limited in the absence of advanced endoscopic services.

4.4. Kidney

Renal problems are frequent in tropical settings and US is the imaging modality of choice to assess the kidneys. Common indications for kidney POCUS are flank pain and reduced renal function.

Flank pain may be caused by urinary tract obstruction. Enlarged tuberculous lymph nodes are a well-known cause of compression; enlarged lymph nodes may also be due to cervical cancer or lymphoma. In renal TB, dilatation of calyces and the renal pelvis, sometimes containing debris, can be found, as well as calcification and thickening of the ureter. In patients receiving the protease inhibitor atazanavir, which is widely used today in second-line ART regimens in Africa, precipitation of crystals in kidney tubules with consecutive formation of stones obstructing the urinary tract are well described. POCUS to detect urinary tract obstruction is well described; its use is widely accepted and considered relatively easy. Therapeutic options in the resource-limited setting are usually limited to analgesia and increased fluid intake.

Renal failure is also a well-known complication in HIV patients, as a result of HIV-associated nephropathy (HIVAN) and nephrotoxic medication, such as tenofovir, which is used widely in first-line ART regimens. Sonographically, HIVAN is often characterized by slightly enlarged kidneys with an echogenic cortex and decreased cortico-medullary definition. In a setting where biopsy is not available, proteinuria and these sonographic findings may be sufficient to make a presumptive diagnosis. In untreated HIV-patients, ART should be initiated. Additionally, the concomitant prescription of an ACE inhibitor is a treatment option. The sonographic assessment of causes of renal impairment is less straightforward for the beginner and is considered 'moderate'.

4.5. Deep venous thrombosis

There is a paucity of literature on thromboembolic disease in Sub-Saharan Africa. The few studies available suggest it is an important problem, while prophylaxis is under-used. Patients with HIV and/or TB are likely to have an increased risk for thromboembolic disease, as both HIV and TB activate the coagulation system, damage endothelium, and impair anticoagulant mechanisms. A study in Kenya demonstrated that HIV and pulmonary TB were frequent comorbidities in patients with pulmonary embolism (10.9% and 12.5%, respectively). Therefore, the application of US for the diagnosis of DVT is relevant in Sub-Saharan Africa.

A simplified POCUS protocol to screen for DVT has been developed by emergency physicians and includes assessment of the common femoral vein and popliteal vein. Thrombosis is identified when the vein is not compressible while applying enough pressure to deform the adjacent arterial wall. This can be assessed reliably by emergency physicians and can be considered ‘easy’. In the present cohort, DVT was observed in three out of five patients with unilateral leg swelling, which had immediate consequences for treatment. The treatment of DVT by anticoagulation can prevent pulmonary embolism and leads to resolution of the thrombus. The implementation of anticoagulation monitoring may be challenging in resource-limited settings, particularly as drug interactions with TB drugs and ART are frequent. Nevertheless, good performance of a pharmacist-managed anticoagulation clinic was demonstrated in rural Kenya. Furthermore, the implementation of novel anticoagulant therapies, which do not warrant monitoring, could facilitate the management of thromboembolism in Sub-Saharan Africa.

4.6. Gynaecology

Pelvic inflammatory disease (PID) and ectopic pregnancy are common gynaecological problems in HIV-infected women. In Sub-Saharan Africa, 17–40% of gynaecology admissions are due to PID. The symptoms may vary from asymptomatic to abnormal vaginal discharge, lower abdominal pain, cervical motion tenderness, and fulminant infection with fever and peritonitis. Ectopic pregnancy is the leading cause of maternal death in the first trimester, with a very high case-fatality rate in the developing world.

Clinical and laboratory findings often suffice to diagnose PID and initiate treatment, but trans-abdominal US is a useful diagnostic tool in cases with unexplained symptoms, chronic PID, and complicated cases, such as tubo-ovarian abscess. Transvaginal US has a higher sensitivity and provides a more detailed view of the uterus and adnexa, but is not readily available outside gynaecology departments, is technically more difficult, and is often not required to establish the diagnosis. In women with a suspicion of PID, one should look for enlargement of the uterus and/or adnexa, free fluid in the pouch of Douglas, fluid in the cavum uteri, and increased echogenicity of the pelvic fat. Salpingitis can present with hyperechoic structures surrounded by a hypoechoic rim of

Figure 2. (a) Enlarged para-aortic abdominal lymph nodes due to abdominal TB are seen as hypoechoic masses (arrows). (b) Multiple hypoechoic microabscesses of the spleen in a patient with disseminated TB (arrowheads).
These data from Malawian patients with a high prevalence of HIV and TB demonstrate that POCUS is a very useful tool in the resource-limited setting, in particular to aid the diagnosis of EPTB, but also other conditions such as heart failure, adrenal masses and ectopic pregnancy, hepatocellular carcinoma, and DVT. POCUS informed a change in treatment in a large proportion of the patients. A further review of the literature illustrated the relevance and applicability of various POCUS applications including FASH, heart, DVT, liver, gynaecology, and kidney. Based on prevalence, impact, and difficulty, it is recommended that POCUS curricula in HIV/TB endemic settings focus on FASH, heart, and DVT, while kidney, liver, and gynaecology applications can be reserved for physicians more experienced with US and those with a specific interest in these fields. This review focused on the literature in Sub-Saharan Africa, but, depending on the epidemiology of HIV, TB, and other illnesses, POCUS has the potential to inform decisions regarding treatment management in other resource-limited settings, such as Latin America and Southeast Asia.

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